

# Conflict and Extended Mineral Reporting

Border States is dedicated to assisting customers in completing their supply chain compliance requirements to facilitate a safe, ethical and legal supply chain.

The **Securities and Exchange Commission (SEC)** enforces compliance with Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act, which requires publicly traded companies to disclose their use of conflict minerals originating from the Democratic Republic of Congo (DRC) and surrounding areas. **Border States asks manufacturers to complete two forms** created by the Responsible Minerals Alliance (RMI):

- The [Conflict Minerals Reporting Template](#) to report whether there is a presence of 3TG (tantalum, tin, tungsten and gold).
- The [Extended Minerals Reporting Template](#) to report whether there is a presence of extended minerals (cobalt, copper, graphite, lithium, mica and nickel).

While we are not a public company subject to SEC rules, we understand our customers may have reporting requirements. As a wholesale distributor, Border States does not design, test, manufacture, service or control the content of the products we sell. We are made aware of the Conflict and Extended Minerals status of the products we sell by our vendors.

Please be advised that **we are unable to complete customer forms, spreadsheets or website links** because we are not privy to all required vendor or manufacturer information. We understand that you may require additional information we are unable to provide. In that case, we are happy to work with you to contact the manufacturers directly for more information.

## Key takeaways

**Your compliance with SEC's process is a foremost priority of ours.** It is important you complete RMI's Conflict Mineral Reporting Template and Extended Minerals Reporting Template to adhere to federal requirements. Know you can rely on Border States to help you minimize risk, support compliance and maintain responsiveness to all state, federal and international regulations when it comes to reporting conflict and extended minerals.

If you require mineral reporting or have any questions, please contact us at [regulatoryaffairs@borderstates.com](mailto:regulatoryaffairs@borderstates.com).

**Disclaimer:** Our information is compiled from several sources that, to the best of our knowledge and belief, are accurate and correct. Border States accepts no liability or responsibility for the information published herein. These materials are provided for informational use only and do not, nor are they intended to, constitute legal advice.



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